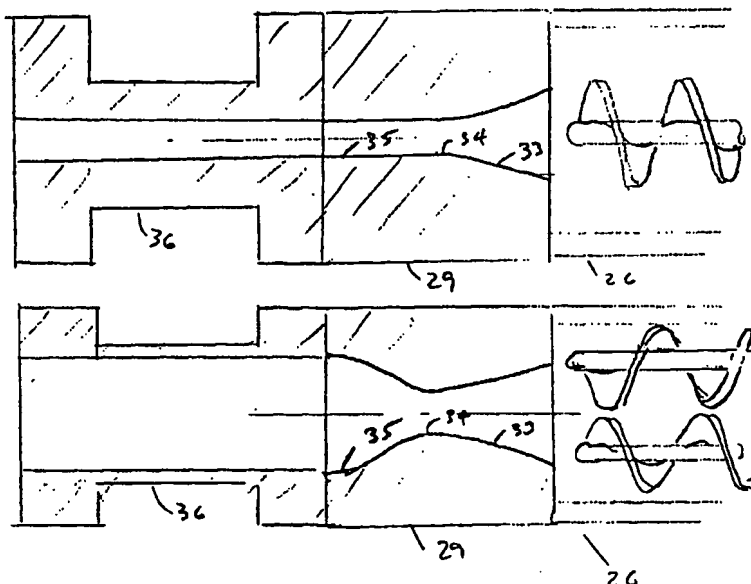


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(54) Title: EXTRUDED WOOD POLYMER COMPOSITE AND METHOD OF MANUFACTURE**(57) Abstract**

An extruded composite artificial lumber product is manufactured from wood fibers, a polyethylene matrix and a foaming agent. A mixture is extruded through a molding die which forms the profile of the desired product. The endothermic foaming agent causes greater expansion in the center of the extruded profile and increased density at the outer edges of the extruded profile.

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EXTRUDED WOOD POLYMER COMPOSITE
AND METHOD OF MANUFACTURE

SPECIFICATION

Be it known that we, Michael E. Dahl, Robert G. Rottinghaus, and Andrew H. Stephens, have invented certain new and useful improvements in an Extruded Wood Polymer Composite and Method of Manufacture, of which the following is a specification.

FIELD OF THE INVENTION

This invention relates to an extruded composite artificial lumber product manufactured from wood fiber and polyethylene, including recycled polyethylene, and its method of manufacture.

DESCRIPTION OF THE PRIOR ART

The prior art reflects many attempts to make an acceptable artificial lumber out of wood fiber and thermoplastics, particularly using recycled materials. Some, such as the product and process disclosed in Laver U.S. Pat. No. 5,516,472 Extruded Synthetic Wood Composition and Method for Making Same, have enjoyed some commercial utility as being a relatively cost-efficient means of re-using materials, which might otherwise be wasted, in the manufacture of lumber-like products which

1 are relatively strong, dimensionally stable and moisture-
2 resistant. Laver teaches that a cellulosic wood fiber
3 material may be mixed with a thermoplastic material and a
4 cross-linking material, all of which are subject to heat
5 (about 180 ° C) and pressure in a twin-screw extruder
6 until they become plastic. The plastic mixture is then
7 extruded through a series of dies including a "stranding"
8 die having multiple orifices in a honeycomb pattern to
9 orient the fibers in the plastic material in a
10 longitudinal direction. The die also includes gas
11 evacuation passages to relieve unwanted process gas, such
12 as from volatile cross-linking agents. As a result,
13 according to Laver, a product is created which may be
14 formed into intricate shapes with no expansion after
15 leaving the molding die. A water spray system cools the
16 product after it leaves the extrusion die, leaving a
17 hardened gloss or glaze on the surface of the product.

18 Brandt, et al. US 5,827,462 (10/27/98) discloses an
19 extruded synthetic wood product using a twin screw
20 extruder discharging a plasticized material which is 50-
21 70% cellulosic and 20-40% thermoplastic, containing
22 cross-linking agents into a transition die and then a
23 stranding die, and then cooling the extruded product with
24 water spray.

1 Deaner, et al. US 5,827,607 (10/27/98) discloses a
2 method of using a twin screw extruder to form composite
3 thermoplastic pellets having 45-70% polyvinyl chloride
4 and 30-50% wood fiber (not wood flour), and being at
5 least 0.1 mm long with an aspect ratio of 1.8. After
6 being pelletized, the material is used as feedstock for a
7 three stage extruder in which the pellets are mixed,
8 melted, and then formed at 195-200° C using a wax
9 lubricant, into structural shapes for doors, windows and
10 the like.

11 Brooks, et al. US 5,082,605 (1/21/92) discloses a
12 method for extruding a composite synthetic wood product
13 containing encapsulated cellulosic fibers. The feed
14 mixture contains polyethylene and up to 10-15%
15 polypropylene, in ratios in a general range of 40/60 to
16 60/40 fiber/polymer. The desirable fiber particles are
17 no more than 1.5 inches, and the polymeric materials are
18 ground to particles of no more than 0.25 inches. The
19 fiber particles are encapsulated in a jacketed compounder
20 at 300-600° F. Clumps of encapsulated material no more
21 than 1.5 inches in length are introduced into a jacketed
22 extruder, at temperatures less than 450° F, and extruded
23 through a fiber alignment plate and then a heated forming
24 die.

1 Brooks, et al. US 5,088,910 (2/18/92) discloses a
2 system for making synthetic wood products. Wood fiber is
3 mixed with thermoplastic material, including both LDPE
4 and HDPE, in plastic/fiber ratios of 40/60 to 60/40, and
5 then heated and kneaded before being formed into golf-
6 ball sized chunks. A fiber alignment plate is positioned
7 ahead of the final extrusion die. The product is cut to
8 desired length using a flying cutoff knife mounted on a
9 table which tracks the movement of the formed material as
10 it leaves the extruder.

11 Brooks, et al. US 5,759,680 (6/2/98) discloses an
12 extruded fiber/polymer composite material in ratios of
13 40/60 to 60/40. The feed material is heated to a working
14 temperature between 190° and 350° F in a jacketed mixer,
15 until it reaches a clumpy, doughy consistency, after
16 which it goes to a size reduction unit, and finally to a
17 compounding extruder using a fiber alignment plate ahead
18 of the final extrusion die. The disclosure teaches that
19 the feedstock should contain no foaming agent, and all
20 but one of the claims reflects that limitation by being
21 limited to "unfoamed" polymeric material. (The one claim
22 not having that limitation is limited to a process which
23 achieves plasticization in a separate "jacketed mixer"

1 prior to extrusion, which makes the process entirely
2 different from the present invention.)

3 SUMMARY OF THE INVENTION

4 It is a primary general object of the present
5 invention to provide a superior extruded wood polymer
6 composite and method of manufacture which is easier,
7 cheaper and quicker to manufacture, and requires less
8 complex manufacturing steps and equipment.

9 A related general object of the invention is to
10 provide a method which will produce a product which has
11 physical properties as good or better than exhibited by
12 prior art products of a similar kind.

13 A specific object of the invention is to provide a
14 method for manufacturing a superior product which has a
15 lower overall density and specific gravity compared to
16 the prior art, while maintaining all or substantially all
17 of its surface strength, hardness and finish, and
18 moisture resistance. In particular, it is an object to
19 provide an extruded artificial lumber product with
20 similar surface qualities of density, hardness and
21 strength, as the prior art, but having selectively
22 reduced density at its central core. By this means the
23 product of the invention is substantially just as strong
24 as the prior art, but is significantly less dense and

1 more economical to manufacture, and is equal to or
2 superior to the prior art in terms of workability in
3 sawing, drilling, nailing, stapling, and the like.

4 By the method of the present invention, a high-
5 quality wood-like extruded artificial lumber product is
6 produced by finely dividing wood fiber and polyethylene
7 into particles, and then mechanically mixing them
8 together with a measured amount of a powdered endothermic
9 foaming or blowing agent. The resulting feed mix is
10 directly introduced, without pre-pelletization, into a
11 conventional twin-screw extruder where it is compressed
12 and heated into a homogenous plastic state, and then
13 extruded through a molding die to form the structural
14 profile of the desired product. Gases, consisting of
15 vaporized moisture from the feedstock and excess process
16 gas from the foaming agent, is removed by vacuum through
17 passages in the extruder ahead of the molding die. In
18 the process, the carefully controlled amount of foaming
19 agent ingredient has the desirable effect of reducing the
20 density at the center of the extruded profile, while
21 allowing the outer surfaces to remain dense, hard and
22 strong. This has the overall desirable effect of
23 producing a product which is relatively stronger with

1 respect to its density, while continuing to present a
2 smooth, hard well-finished external appearance.

3 It is believed that the controlled amount of foaming
4 agent causes a greater degree of expansion in the center
5 of the extruded profile than at its perimeter, thereby
6 compressing a greater proportion of plastic material
7 against the sides of the extrusion die. This has the
8 effect of increasing the density and strength on the
9 outside of the extrusion, while reducing the density
10 (with no significant loss of overall strength) on the
11 inside. The resulting extruded artificial lumber product
12 can be selectively made with a specific gravity of 1.0,
13 plus or minus 20%, with no significant variation in
14 external dimensions after cooling.

15

16 THE DRAWINGS

17 FIG. 1 is a perspective view of four extruded
18 artificial products, of which one represents a typical
19 prior art product for comparison purposes, and three have
20 been manufactured according to the present invention;

21 FIG. 2 is a schematic diagram of a process embodying
22 the method of the present invention;

1 FIG. 3 is an enlarged horizontal cross-section of
2 the forming die and stabilizing die which receives the
3 molten exudate from the extruder; and

4 FIG. 4 is an enlarged vertical cross-section of the
5 forming die and stabilizing die of Fig. 3.

6

7 DETAILED DESCRIPTION OF THE INVENTION

8 Turning to the drawings, there is shown in Fig. 1 a
9 typical prior art extruded lumber product 10, such as
10 might be manufactured using the process taught in the
11 Laver U.S. Pat. No. 5,516,472. The product 10 might
12 typically be produced in ten foot lengths, with
13 dimensions of 6 inches by 5/4 inches (nominal) and 10, 12
14 or 16 feet in length. This product finds great utility
15 in outdoor benches, tables, and railings, and as deck
16 planking for exterior porches exposed to the weather
17 year-round. Such a prior art product might typically be
18 composed of about two parts finely divided wood fiber and
19 one part finely divided recycled thermoplastic material,
20 along with a lesser amount of thermosetting plastic
21 material. The finely divided ingredients can be mixed
22 directly prior to introduction into an extruder, or they
23 can be pre-pelletized, in the method taught by Deaner, et
24 al. US Patent 5,827,607. Typically, a multiple-stage

1 molding die having a fiber alignment plate or stranding
2 die is used, which aligns the wood fibers, but also cause
3 a high level of back pressure in the extruder.

4 Such prior art artificial lumber planking, while not
5 generally as strong as natural wood, exhibits other
6 favorable qualities. It is generally maintenance free,
7 and can be exposed to the elements indefinitely without
8 significant degradation of either appearance or strength.
9 As for ease of fabrication, it is quite similar to wood
10 in that it can be drilled, sawed, and nailed, and can
11 receive screw and other fasteners, with results very
12 similar to natural wood.

13 However, despite the advantages set forth above,
14 prior art artificial lumber products such as the
15 illustrated example 10 often exhibit deficiencies which
16 can seriously and adversely affect their utility and
17 longevity in certain applications. For example, it has
18 been found that extruded composite products manufactured
19 using the stranding die technology taught in the Laver
20 U.S. Pat. No. 5,516,472 will sometimes suffer from
21 moisture absorption, possibly as a result of having a
22 lower thermoplastic content together with the presence
23 microscopic longitudinal channels created by the forced
24 alignment of the wood fibers during the extrusion

1 process. As a result, the product has, in effect, an
2 "end grain" through which moisture can enter, causing
3 eventually swelling, warping and distortion which can
4 upset the dimensional stability of any structure
5 manufactured with these materials.

6 In addition, while the prior art extruded artificial
7 lumber products 10 generally have a superior surface in
8 terms of strength, hardness and appearance, they are
9 generally quite dense, with some having specific
10 gravities substantially higher than 1.0, meaning that
11 they consume more raw materials per board foot of
12 product, and have a poorer strength-to-weight ratio in
13 comparison to natural wood. They will not float at all.

14 Finally, the manufacture of prior art artificial
15 lumber products 10 by the prior art methods described
16 above is relatively costly and time-consuming because of
17 the need for either pre-pelletization or a pre-melt step
18 in some cases, and for multiple-part extrusion dies
19 (including stranding dies) in others.

20 Referring again to the drawings, there are also
21 shown in Fig. 1 three additional extruded artificial
22 lumber sections 12, 14 and 16, in the form of deck
23 planks, manufactured according to the present invention.
24 Improved plank 12 exhibits the same hard, strong, smooth

1 surface as prior art plank 10, but has at its center a
2 region 13 of reduced density which lowers the overall
3 density and weight of the plank without significantly
4 affecting its strength. Even though the density
5 reduction may reduce the tensile strength and modulus of
6 the product at its center, the fact that the outer
7 surfaces are effectively unaffected causes the overall
8 strength and modulus of the product to be substantially
9 unchanged.

10 The density reduction of plank 12 at its center 13
11 is achieved by the addition of a controlled quantity of
12 foaming agent, preferably up to 1% of an endothermic
13 foaming agent such as bicarbonate of soda. This agent is
14 added and mixed into the wood fiber and thermoplastic
15 polymer components which, together with small quantities
16 of certain other components, comprise the feedstock of
17 the extruder. It has been found that it is possible to
18 control the expansion of the foaming agent in a way which
19 substantially confines it to the center of the extruded
20 product, thereby achieving additional lightness without
21 any sacrifice in surface characteristics or overall
22 strength.

23 The amount of endothermic foaming agent in the
24 feedstock mix has been found to be relatively critical.

1 Referring again to Fig. 1, plank 14 exhibits bowed outer
2 surfaces because of excessive expansion at its center 15.
3 Similarly, the center 17 of plank 16 has not expanded
4 sufficiently, or has even shrunk after leaving the
5 extruder, giving the cross-section a "dog bone" shape
6 which is also unacceptable. It is therefore important to
7 adjust and balance the concentration of endothermic
8 foaming agent against the wood fiber and thermoplastic
9 polymer components of the feedstock mixture so that a
10 plank 12 with dimensionally stable surfaces is achieved,
11 and not a bowed plank 14 or sunken plank 16 which may
12 possess a reduced density at its center, but which may be
13 dimensionally unacceptable.

14 Turning to Fig. 2, there is shown in schematic form
15 a production line for producing the improved,
16 dimensionally stable plank 12 of the present invention.
17 A supply of wood fiber or other fibrous cellulosic
18 material 18 is introduced into a pulverizer or shredder
19 19 where it is finely divided into particles having a
20 maximum length dimension generally no smaller than 80
21 mesh (about 0.007 inches), and no larger than about 0.5
22 inches, with the preferred range being 10-40 mesh.
23 Another supply of thermoplastic material 20, which is
24 preferably scrap polyethylene such as may be reclaimed

1 from a materials recycling program, is similarly finely
2 divided in a pulverizer or shredder 21 into particles
3 generally no smaller than 80 mesh, with the preferred
4 range being 10-60 mesh.

5 After pulverization, the finely divided wood fiber
6 and thermoplastic particles are conveyed, such as by air
7 conveyor, to a mixer 22. To the mixer 22 is also added a
8 quantity of powdered endothermic foaming agent 23 such as
9 bicarbonate of soda, and (if desired) up to about 1% of a
10 wax lubricant 24.

11 In practice, the following ranges (parts by weight)
12 of components have been found most desirable in achieving
13 the objects of the invention:

	Wood Fiber	Polymer	Foaming Agent	Lubricant
14 Composition A	50	50	0.6	0.8
15 Composition B	60	40	0.3	1.0
16 Composition C	40	60	0.7	0.6

17 If desired, up to 5 parts of a thermoplastic olefin
18 can also be added for optimizing melt flow
19 characteristics.

20 According to the invention, the wood fiber,
21 thermoplastic and foaming agent ingredients are
22 thoroughly mixed in the mixer 22 and then conveyed, by
23

1 means such as an air conveyor, to the input hopper 25 of
2 a screw-type extruder 26. Excellent results have been
3 achieved using the commercially available Cincinnati
4 Milacron CM-80-BP twin screw extruder driven by motor 27.
5 As is well known in the art, the twin screw extruder uses
6 meshed counter-rotating flights (not shown) which have a
7 larger pitch at the inlet end and a smaller pitch at the
8 output end. The flights are heated internally, and the
9 extruder barrel is also heated.

10 In combination, the heat imparted to the feedstock
11 mixture by the heated extruder flights and barrel, plus
12 the mechanical shearing and compression caused by the
13 differential pitch of the flights, cause the feedstock
14 mixture temperature to be raised to a point where it
15 becomes plastic and homogenous, with the wood fibers
16 being intimately mixed, coated and bound in the melted
17 thermoplastic. In addition, any residual moisture in the
18 feedstock components is vaporized, and as the mixture
19 heats further, its temperature is desirably in the range
20 of 320° F to 400° F, which causes the endothermic foaming
21 agent to become activated, absorbing some of the heat
22 energy and releasing carbon dioxide gas.

23 As the heated and compressed feedstock approaches
24 the extruder die 29 at the exit end of the extruder,

1 excess volatiles including vaporized moisture and excess
2 foaming agent gas (principally carbon dioxide) are
3 removed from the extruder ahead of the molding die by a
4 vacuum pump 28. In practice, it has been found that the
5 best results are obtained at vacuum levels of at least 25
6 inches of mercury, with the best operating range being
7 between 27 and 30 inches of mercury. With less vacuum,
8 the resulting product is more sensitive to moisture,
9 possibly because the remaining volatiles (water and
10 carbon dioxide) permeating the melt and create fissures
11 in the final product, into which water may penetrate. On
12 the other hand, vacuum levels of 30 inches of mercury and
13 more tend to negate the effect of the foaming agent,
14 leading to insufficient density reduction, insufficient
15 dimensional stability on leaving the extruder, and poor
16 workability in the finished product.

17 With the process of the present invention, no
18 special multiple die sets, and no fiber alignment or
19 stranding die, are needed to produce a strong, stable,
20 moisture-resistant product. As shown in Figs. 3 and 4,
21 the extrusion die 29 has a converging entrance 33 leading
22 to a throat 34 sized to produce the desired degree of
23 pressure drop leaving the extruder, and a diverging exit
24 35 passage allowing for expansion of the melt in cross-

1 section to form the desired profile of the extruded
2 product.

3 From the exit passage the extruded product passes
4 through a stabilization die 36 where it cools
5 sufficiently to retain its shape upon entering the spray
6 chamber 30. In practice, the extruded material leaving
7 the throat of the die expands just sufficiently to take
8 the full the exit passage and thereby take its final
9 shape, without undue pulling or dragging across its
10 surface which might cause fissures known as "melt
11 fractures".

12 From the extruder 26 and die 29, the formed ribbon
13 of extruded product passes into a spray chamber 30 where
14 it is cooled by spray jets of water from a reservoir 31
15 as is well understood in the art. Once cooled, it passes
16 by conventional means to a cutoff station 32 where a
17 traveling table or "flying" cutoff knife or saw cuts the
18 product to any length desired.

19 A typical product manufactured by the method of the
20 invention has been found to exhibit the following
21 characteristics (typical values):

22	Modulus of elasticity	285,758 psi	ASTM D4761
23	Modulus of rupture	1676 psi	ASTM D4761
24	Tensile strength	786 psi	ASTM D198

1	Shear strength	706 psi	ASTM D143
2	Screw withdrawal force	650 lb/in	ASTM D1761
3	Nail withdrawal force	177 lb/in	ASTM D1761
4	Coefficient of thermal expansion	4.5×10^{-5}	ASTM E228
5	Water absorption	1.66%	ASTM D1037
6	Density (S.G.)	1.0	

1 I CLAIM AS MY INVENTION:

2 1. A process for manufacturing a composite
3 extruded structural product having a desired profile from
4 thermoplastic material and wood fiber comprising the
5 steps of:

6 finely dividing the thermoplastic material and wood
7 fiber each into particles no smaller than about 0.007
8 inches and no larger than about 0.5 inches in length;

9 mechanically mixing together the thermoplastic
10 particles and the wood fiber particles in a ratio of
11 between 60%-40% and 40%-60% by weight, together with an
12 effective amount of a foaming agent, to form a feedstock
13 mixture;

14 introducing the feedstock mixture, without pre-
15 pelletization, into a screw-type extruder;

16 mechanically mixing, compressing and heating said
17 feedstock mixture in said extruder until it becomes
18 plastic and homogenous;

19 extruding said heated, plastic, homogenous mixture
20 through a molding die into the structural profile of a
21 desired product;

22 cooling said extruded product upon emerging from
23 said molding die; and

1 cutting the cooled extruded product into desired
2 lengths.

3 2. The process of claim 1 in which an effective
4 amount of foaming agent ingredient is selected to create
5 an extruded product having a specific gravity of between
6 about 0.8 and about 1.2 with no significant dimensional
7 variation after cooling.

8 3. The process of claim 1 in which the effective
9 amount of foaming agent ingredient is up to about 1% by
10 weight.

11 4. The process of claim 1 in which the foaming
12 agent ingredient is an endothermic foaming agent.

13 5. The process of claim 1 in which the foaming
14 agent ingredient is bicarbonate of soda.

15 6. The process of claim 1 including the additional
16 step of extracting excess volatiles under vacuum from
17 said extruder, thereby producing an extruded product
18 having a surface which is relatively dense, tight-grained
19 and strong, and a center which is relatively more porous
20 and less dense.

21 7. The process of claim 6 in which the vacuum
22 extraction step is performed using a vacuum of at least
23 25 inches of mercury.

1 8. The process of claim 1 in which up to 1% by
2 weight of wax lubricant is mixed into the feedstock
3 mixture prior to introduction into the extruder.

4 9. The process of claim 1 in which up to 5% by
5 weight of thermoplastic olefin is mixed into the
6 feedstock mixture prior to introduction into the
7 extruder.

8 10. The process of claim 1 in which the molding die
9 has a converging entrance, a throat, and a diverging exit
10 terminating in the profile of the desired structural
11 product.

12 11. The process of claim 1 in which the extruded
13 product upon emerging from said molding die is cooled
14 with a direct water spray, and said cooled extruded
15 product is cut into desired lengths with a traveling saw.

16 12. A process for manufacturing a composite
17 extruded structural product having a desired profile from
18 recycled polyethylene and wood fiber comprising the steps
19 of:

20 finely dividing recycled polyethylene and wood fiber
21 each into particles of a size between 10 mesh and 40
22 mesh;

23 mechanically mixing together the polyethylene
24 particles and the wood fiber particles in a ratio of

1 between 60%-40% and 40%-60% by weight, and an effective
2 amount of a powdered endothermic foaming agent, to form a
3 feedstock mixture;

4 introducing the feedstock mixture, without pre-
5 pelletization, into a heated screw-type extruder
6 discharging into a molding die, said molding die having
7 an entrance, a throat, and an exit having the shape of a
8 desired product;

9 mechanically mixing, compressing and heating said
10 feedstock mixture in said extruder until it becomes
11 plastic and homogenous;

12 extracting excess volatiles and foaming agent
13 process gas under vacuum from said feedstock mixture
14 prior to entering said molding die;

15 forcing said heated, plastic, homogenous mixture
16 through said molding die to produce an extruded product
17 having a surface which is relatively dense, tight-grained
18 and strong, and a center which is relatively more porous
19 and less dense;

20 cooling said extruded product upon emerging from
21 said molding die; and

22 cutting the cooled extruded product into desired
23 lengths.

1 13. A composite extruded artificial lumber product
2 having a surface which is relatively dense, tight-grained
3 and strong, and a center which is relatively more porous
4 and less dense, manufactured by the process of claim 1.

5 14. A composite extruded artificial lumber product
6 having a surface which is relatively dense, tight-grained
7 and strong, and a center which is relatively more porous
8 and less dense, manufactured by the process of claim 12.

9 15. The composite extruded artificial lumber
10 product of claim 13 having a specific gravity between
11 about 0.8 and about 1.2 with no significant dimensional
12 variation after cooling.

13 16. The composite extruded artificial lumber
14 product of claim 14 having a specific gravity between
15 about 0.8 and about 1.2 with no significant dimensional
16 variation after cooling.

FIG. 1

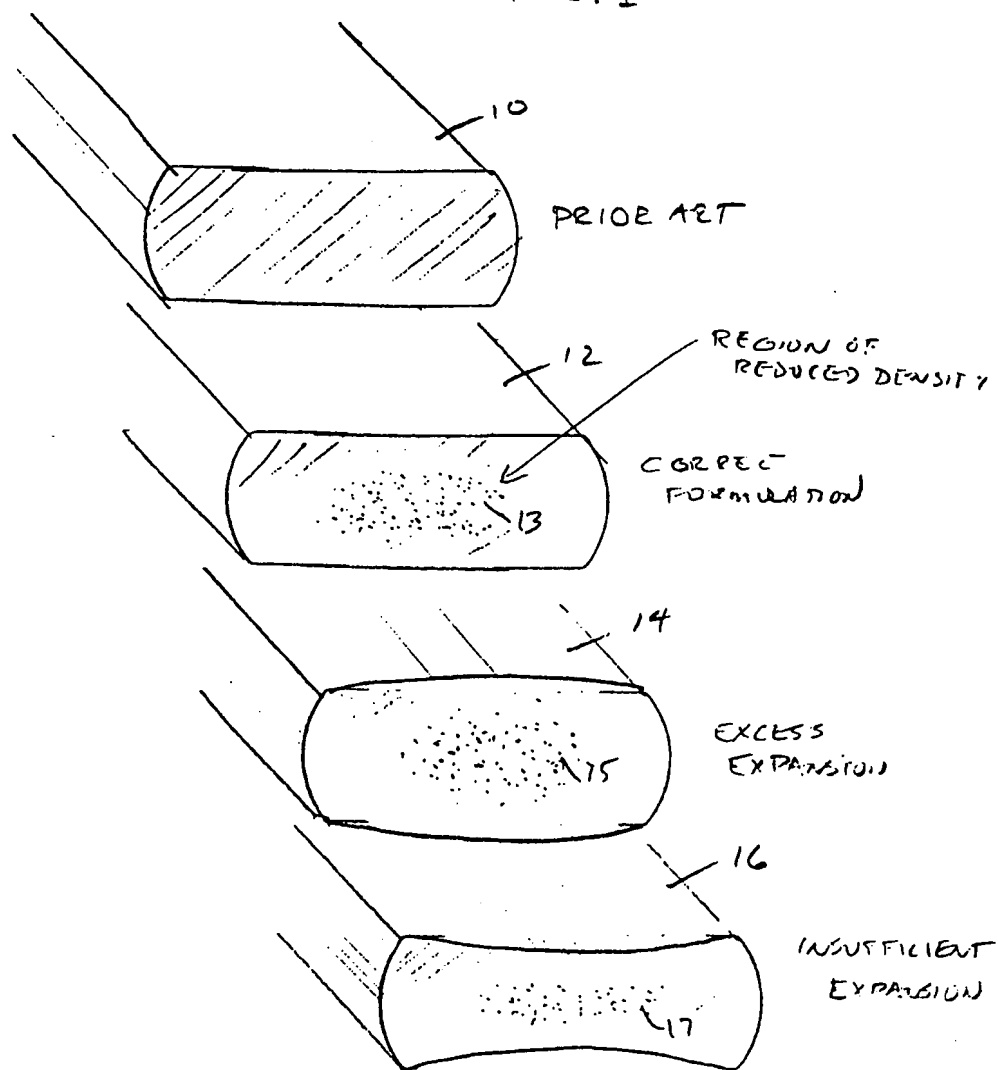


FIG. 2

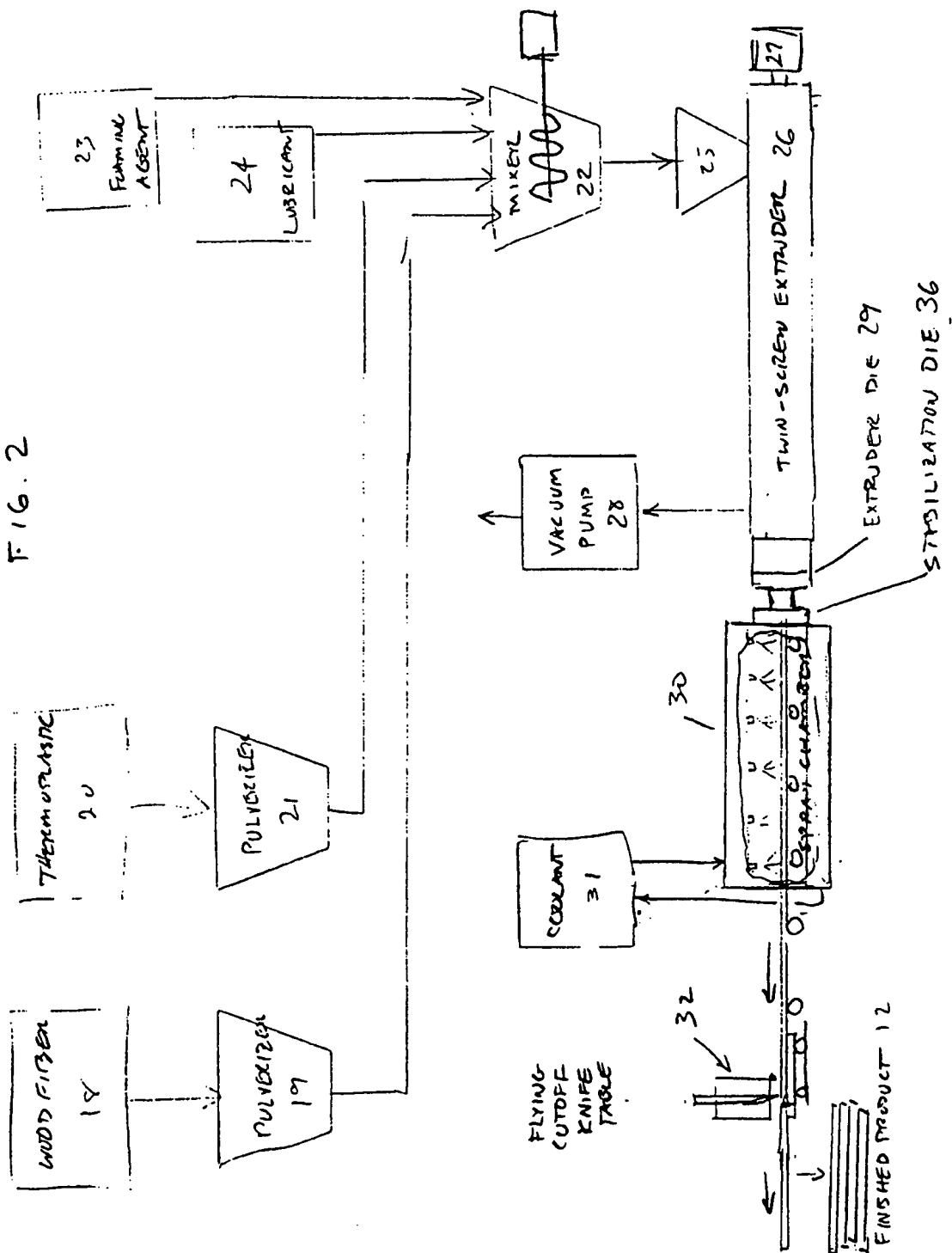


FIG. 3

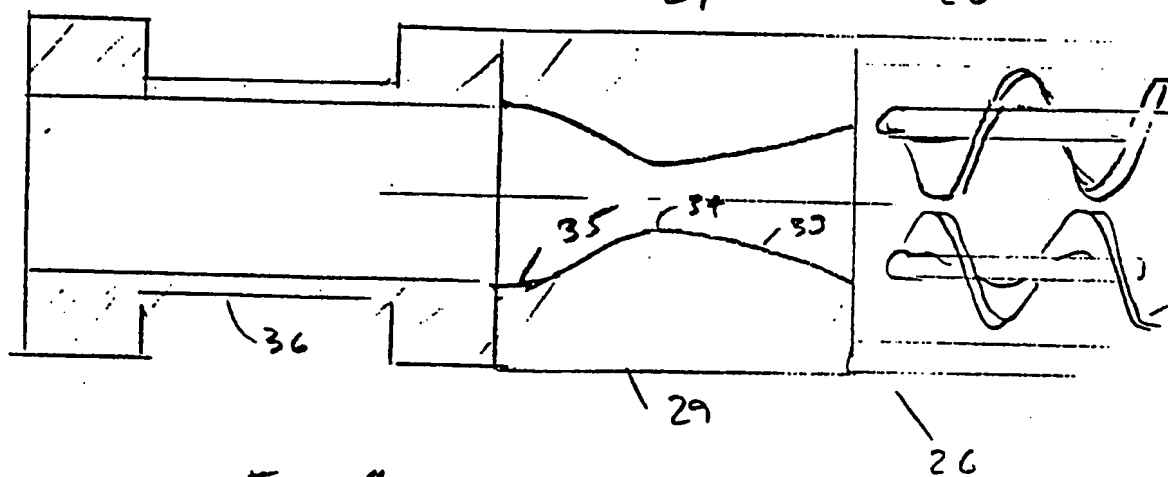
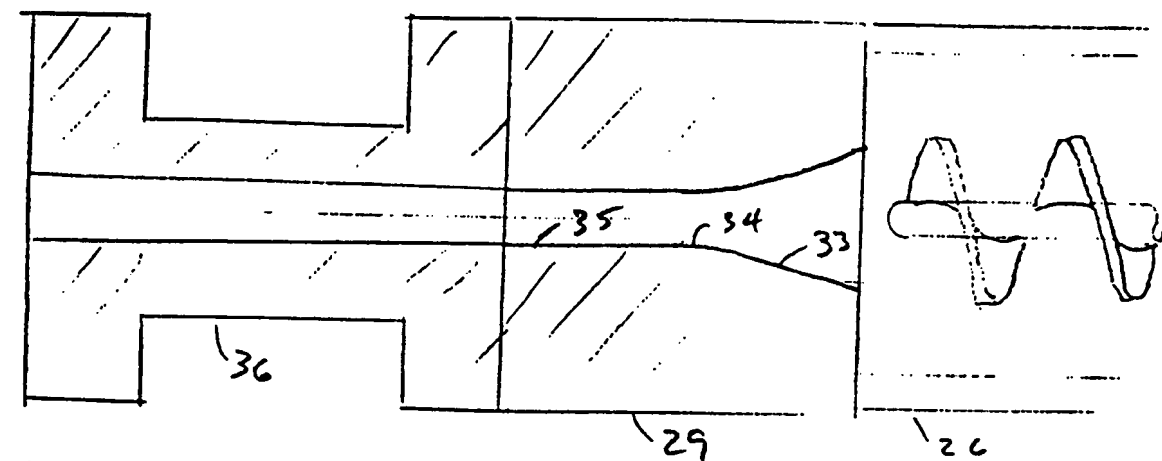


FIG. 4

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/02345

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : B29C 47/78, 47/36

US CL : 264/118,122,913,920; 428/903.3

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 264/118,122,913,920; 428/903.3; 425/382R, 382.4

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WEST 2.0 search terms, see claim 1

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,516,472 A (LAVER et al) 14 May 1996	1-16

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

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